COMMONWEALTH OF KENTUCKY MINE SAFETY REVIEW COMMISSION ADMINISTRATIVE ACTION NO. 06-MSRC-047

MICHAEL A. CLARK

PETITIONER

FINAL ORDER

COMMONWEALTH OF KENTUCKY,
OFFICE OF MINE SAFETY AND LICENSING

RESPONDENT

* * * * * * *

This matter having come before the Commission on the Joint Motion to Approve Settlement Agreement between the Respondent, Office of Mine Safety and Licensing (OMSL) and Petitioner Michael Clark, and the members of the Commission having reviewed the Settlement Agreement filed on or about September 26, 2006 in the matter herein, and the Commissioners being sufficiently advised,

IT IS HEREBY **ORDERED** as follows:

- 1. The Joint Motion to Approve Settlement Agreement is **GRANTED**;
- Any formal hearing and pre-hearing conference scheduled and other pre-hearing orders are CANCELLED;
- 3. The Settlement Agreement signed by the parties and filed with the Commission is hereby incorporated by reference as if reproduced herein in full as a material part of this FINAL ORDER. A copy is attached hereto;

- 4. This Final Order only resolves the matters referenced herein, and any new enforcement action or subsequent penalties imposed during any probationary period are in addition to and not in lieu of those that may be sought by OMSL for the acts or omissions during that probationary period. This Final Order in no way prohibits OMSL from investigating or filing legal action based upon other activities or omissions by the Respondent not arising from the factual basis of the original violation and resolved herein.
- 5. This Final Order shall be considered to constitute a "first offense" as that term is defined in 805 KAR 8:010, Section 1(5). Future allegations of separate offenses by Respondent, resulting in an adjudication of guilt by the Commission, shall be deemed "subsequent offenses" as defined by 805 KAR 8:010, Section 1(13);
- 6. This Final Order represents a final disposition of all issues between the Complainant and the Respondent, in these matters. Failure to comply with the terms and conditions of this Final Order may result in further action by the Commission; and
- 7. Appellate rights: Pursuant to KRS 351.194(8) and KRS 13B.140(1), an appeal of an order of the Commission must be filed in the Franklin Circuit Court within thirty (30) days of the entry of this Final Order. The petition shall include the names and addresses of all parties of record in this proceeding and shall include a statement of the grounds upon which review is requested. A copy

of this Final Order shall accompany the petition. Copies of the petition must be served upon the Commission and all parties of record in this proceeding.

Entered this the 26 TH day of September 2006.

Denise Moore Davidson, Chair

MINE SAFETY REVIEW COMMISSION

WILLIAM R. WHITLEDGE

CERTIFICATE OF SERVICE

I hereby certify that a true and correct copy of the foregoing FINAL ORDER was served by certified mail, return receipt requested, and by regular mail, postage pre-paid to the following this TH day of September 2006:

MICHAEL A. CLARK 1300 COPPENGER DRIVE CUMBERLAND, KENTUCKY 40823

And by messenger mail to:

Hon. C. Michael Haines Office of Legal Services Natural Resources Legal Division #2 Hudson Hollow Frankfort, Kentucky 40601 (502) 564-2356 FAX: (502) 564-9212

And the original shall be kept on file:

Mine Safety Review Commission 132 Brighton Park Blvd. Frankfort Ky 40601

Bryon Alan Thompson

Hearing Officer & General Counsel Mine Safety Review Commission

COMMONWEALTH OF KENTUCKY MINE SAFETY REVIEW COMMISSION Action No. 06-MSRC-047

MICHAEL A. CLARK

PETITIONER

v. SETTLEMENT AGREEMENT

KENTUCKY OFFICE OF MINE SAFETY & LICENSING

RESPONDENT

* * * * * * * * * *

Come the parties, Petitioner Michael A. Clark, *pro se*, and the Respondent, the Kentucky Office of Mine Safety and Licensing (OMSL), by counsel, and hereby state that they have agreed to a settlement of the above-styled disciplinary proceeding, according to the following terms:

In consideration for the final resolution of this action against him, and the cancellation of the hearing (as it pertains to him) that is currently scheduled for October 12, 2006, the Petitioner hereby admits that he violated his employer's substance abuse policy, which was reported to the Respondent and resulted in the suspension of his surface miner's certificate on August 25, 2006. His actions constituted a violation of KRS 351.120. Therefore, Michael A. Clark hereby agrees to the following:

- 1. The suspension of his underground miner, temporary surface miner, and MET certificates until such time as his application for restoration of his certificates is accepted by the Kentucky Mining Board (Board), in accordance with KRS 351.120(13), but in no event shall the suspension end sooner than September 28, 2006.
- 2. The Petitioner's underground miner, temporary surface miner and MET certificates shall be placed on probation for a period of one (1) year from the date of the

restoration of said certificates by the Board, subject to the conditions set forth in Paragraphs 3 and 4, below.

- 3. During this one-year probationary period, Clark shall comply with all state and federal mine safety laws and regulations, including the terms of this Settlement Agreement. The willful violation by Clark of any of said laws or regulations, or the terms of this settlement agreement, may result in OMSL filing a motion with the Mine Safety Review Commission to revoke his underground miner, temporary surface miner and MET certificates for the remainder of the probationary period.
- 4. Clark shall submit to drug testing once every sixty (60) days and have the results of same reported to OMSL, at his own expense. If necessary, the Petitioner can utilize the drug testing program offered by OMSL through its examination system, at his own expense.
- 5. Clark understands and agrees that the report of any positive drug test results shall be cause for the revocation of his certificates.

Clark further agrees that this Settlement Agreement and any final order issued herein by the Mine Safety Review Commission will be considered by the Commission to constitute a "first offense", as that term is defined at 805 KAR 8:010, Section 1(13).

Clark understands and agrees that he has had the opportunity to retain an attorney to represent him in this matter, but that he has chosen to represent himself. Clark further states that he has carefully read this Settlement Agreement (or that the Settlement Agreement has been read to him), that he has carefully considered the Agreement prior to signing it, and that he understands and agrees to its terms and provisions.

OMSL and Clark acknowledge and agree that there are no further promises, inducements, representations, or agreements in connection with this Settlement Agreement other than those expressly set forth in writing herein.

1300 Coppenger Drive

Cumberland, Kentucky 40823

charl a. Clark

Acting Executive Director

KY Office of Mine Safety & Licensing

P.O. Box 2244

Frankfort, Kentucky 40602

9-26-06

9-28-06 DATE

C. MICHAEL HAINES

Office of Legal Services,

Natural Resources Legal Division Office of Mine Safety & Licensing

P.O. Box 2244

Frankfort, Kentucky 40602

Counsel for Respondent

CERTIFICATE OF SERVICE

This is to certify that a true and correct copy of the foregoing Settlement Agreement was served upon the following by mailing, postage prepaid, a true and correct copy of same to the following on this the Manday of Jen., 2006:

Mr. Michael A. Clark 1300 Coppenger Drive Cumberland, Kentucky 40823

C. MICHAEL HAINES